

Issue Metrics Report As of 04/17/2015 Issues Received During 9/02/2014 - 04/16/2015 41 Total Issues	
Case Statuses	
CASES REFERRED TO PROSECUTOR	0
CURRENT CASES IN LITIGATION	0
COMPLIANCE ACTION PENDING	6
MONITORING	1
TOTAL OPEN (FY2015)	17
TOTAL CLOSED (FY2015)	46
TOTAL CURRENTLY OPEN	18
Respondent Types	
Licensed	82
Unlicensed	4
No Respondent	0
Total	86
ALLEGED ISSUES	
Conduct/Acts Discreditable to the Profession/License Holder	3
Competence	3
Firm Registration Licensing Violation	30
Peer Review Remedial Action Violation	3
Unknown	0
Unlawful Advertising	16
Unlawful Practice	12
Confidential Client Information	5
Retention of Client Records	3
Tax Services Standards	2
Total	77